

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under
37 C.F.R. 1.53(b))

Attorney Docket No.

2846.1001-023

First Named Inventor or
Application Identifier

David A. Edwards

Express Mail Label No.

EV 044391075 US

Title of
Invention

AERODYNAMICALLY LIGHT PARTICLES FOR PULMONARY DRUG DELIVERY

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application, P.O. Box 2327
Arlington, VA 22202

1. ☐ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification **Total Pages 38**
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) **Total Sheets 4**
 - ☐ Fig. of the Drawings for Publication ☐
 - ☒ No Figure to be Published
4. ☒ Oath or Declaration **Total Pages 4**
 - a. ☐ Newly executed (original or copy)
 - b. ☒ Copy from a prior application (37 C.F.R. 1.63(d))
(for continuation/divisional with Box 17 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

6. ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. ☐ Computer Readable Form
 - b. ☐ Paper Copy (identical to computer copy)
 - ☐ Pages
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & documents)
 - ☒ Assignee - The Penn State Research Foundation
University Park, PA

Massachusetts Institute of Technology
Cambridge, MA
8. ☒ Power of Attorney ☒ 37 C.F.R. 3.73(b) Statement
Revocation
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard
13. ☐ Small Entity Statement(s)
- 14a. ☐ Foreign Priority Claim under 35 U.S.C. §119 or 365
- 14b. ☐ Certified Copy of Priority Document(s)
15. ☐ Nonpublication Request (check parent application)
16. ☒ Other Remarks/Change of Correspondence Address

17. If a **CONTINUING APPLICATION**, check appropriate box; supply the requisite information.

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 09/194,068

Prior application information: Examiner: R. Bawa

Group Art Unit: 1619

The entire disclosure of the prior application is considered a part of the disclosure of the accompanying application and is hereby incorporated by reference.

(Add standard Related Applications section with incorporation by reference to specification or update same)

18. CORRESPONDENCE ADDRESS

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Signature	<i>Anne I. Craig</i>	Date	3/1/02
Submitted by Typed or Printed Name	Anne I. Craig	Reg. Number	32,976

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#3
m.m.
7/25/02

Applicants: David A. Edwards, Giovanni Caponetti, Jeffrey S. Hrkach, Noah Lotan, Justin Hanes, Abdellaziz Ben-Jebria and Robert S. Langer

Continuation Application of

Application No.: 09/194,068

Filed: 371(c) date of April 8, 1999

For: AERODYNAMICALLY LIGHT PARTICLES FOR PULMONARY
DRUG DELIVERY

Date: <u>3/1/02</u>
EXPRESS MAIL LABEL NO. <u>EV 044391075 US</u>

REMARKS/CHANGE OF CORRESPONDENCE ADDRESS

Box PATENT APPLICATION
Assistant Commissioner for Patents
P.O. Box 2327
Arlington, VA 22202

Sir:

This application is a continuation of Application No. 09/194,068, which is the U.S. National stage of International Application No. PCT/US97/08895, filed on May 23, 1997, with the completion of the §371(c) requirements on April 8, 1999, published in English, which claims priority to U.S. Application No. 08/655,570, filed May 24, 1996, now abandoned, and U.S. Application No. 08/739,308, filed October 29, 1996, now U.S. Patent No. 5,874,064.

A updated related applications paragraph has been added as the first paragraph in the specification.

----- The specification has been revised to incorporate amendments entered in the parent application. No new matter has been added. -----

Pursuant to 37 C.F.R. 1.63(d)(4), please note that a new Power of Attorney (a copy is attached hereto) was submitted on April 20, 2000 in parent Application No. 09/194,068.

Please send all correspondence in the above-identified continuation application to:
Customer No. 021005; Hamilton, Brook, Smith & Reynolds, P.C., 530 Virginia Road, P.O. Box 9133, Concord, Massachusetts 01742-9133. In addition, please direct all telephone calls to Anne I. Craig at (978) 341-0036, and all facsimile communications to (978) 341-0136.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

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